

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

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VINCENT JOHN FIORENTINO,

Petitioner,

-against-

UBS FINANCIAL SERVICES, INC.,

Respondent.
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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
ELECTRONICALLY FILED
DOC#:
DATE FILED: 5/9/2022

22 CIVIL 2795

JUDGMENT

It is hereby **ORDERED, ADJUDGED AND DECREED:** That for the reasons stated in the Court's Order dated May 6, 2022, the Arbitration Award issued in FINRA Dispute Resolution No. 20-03189, dated February 17, 2022, in favor of the Petitioner, made by FINRA Arbitrator Richard W. Cutler (ECF No. 1-2), is Confirmed. 2. FINRA shall execute the Arbitrator's expungement directive removing all references to Occurrence Number 2064770 from registration records maintained by the Central Registration Depository for Mr. Fiorentino (CRD Number 1557805).

Dated: New York, New York
May 9, 2022

RUBY J. KRAJICK

Clerk of Court

BY:

Xmango

Deputy Clerk